



ASIC

Australian Securities & Investments Commission

Extracted from ASIC's database at AEST 10:42:50 on 11/06/2018

<b>Current Details</b>	
Name:	AUSFIRST COMPLIANCE PARTNERS LIMITED
Licence Number:	275444
Status:	Current
ABN:	40 106 211 983
Commenced:	13/07/2004
<b>Addresses</b>	
Principal Business Address:	Suite 1, 28 Donkin Street, WEST END QLD 4101
Service Address:	Suite 1, 28 Donkin Street, WEST END QLD 4101
<b>Roles</b>	
Role:	Auditor of FS Licensee
Name:	JOHN BIRMINGHAM LOXTON
Commenced:	19/02/2010
<b>External Dispute Resolution</b>	
Scheme:	Credit and Investments Ombudsman (CIO)
Membership Number:	M0019391
Commenced:	19/02/2013
<b>Licence Authorisation Conditions</b>	
From:	18/10/2013
Details:	<p>1. This licence authorises the licensee to carry on a financial services business to:</p> <p>(a) provide general financial product advice for the following classes of financial products:</p> <p>(i) securities; and</p> <p>(b) deal in a financial product by:</p> <p>(i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:</p> <p>(A) securities;</p> <p>to retail and wholesale clients.</p>
From:	14/07/2008
Details:	<p>1. This licence authorises the licensee to carry on a financial services business to:</p> <p>(a) provide general financial product advice for the following classes of financial products:</p> <p>(i) securities; and</p> <p>(b) deal in a financial product by:</p> <p>(i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:</p> <p>(A) securities;</p> <p>to retail and wholesale clients.</p>
From:	13/07/2004
Details:	<p>1. This licence authorises the licensee to carry on a financial services business to:</p>



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## Australian Financial Services Licensee

**AUSFIRST COMPLIANCE PARTNERS LIMITED**  
**AFS Licence Number 275444**

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- (a) provide general financial product advice for the following classes of financial products:
    - (i) securities; and
  - (b) deal in a financial product by:
    - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
      - (A) securities;
- to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.