



ASIC

Australian Securities & Investments Commission

Extracted from ASIC's database at AEST 11:48:40 on 01/06/2016

Current Details	
Name:	AUSFIRST COMPLIANCE PARTNERS LIMITED
Licence Number:	275444
Status:	Current
ABN:	40 106 211 983
Commenced:	13/07/2004
Addresses	
Principal Business Address:	18 Parkhaven Drive, WURTULLA QLD 4575
Service Address:	18 Parkhaven Drive, WURTULLA QLD 4575
Roles	
Role:	Auditor of FS Licensee
Name:	JOHN BIRMINGHAM LOXTON
Commenced:	19/02/2010
External Dispute Resolution	
Scheme:	Financial Ombudsman Service
Membership Number:	14901
Commenced:	08/01/2010
Licence Authorisation Conditions	
From:	18/10/2013
Details:	<ol style="list-style-type: none"> 1. This licence authorises the licensee to carry on a financial services business to: <ol style="list-style-type: none"> (a) provide general financial product advice for the following classes of financial products: <ol style="list-style-type: none"> (i) securities; and (b) deal in a financial product by: <ol style="list-style-type: none"> (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products: <ol style="list-style-type: none"> (A) securities; <p>to retail and wholesale clients.</p>
From:	14/07/2008
Details:	<ol style="list-style-type: none"> 1. This licence authorises the licensee to carry on a financial services business to: <ol style="list-style-type: none"> (a) provide general financial product advice for the following classes of financial products: <ol style="list-style-type: none"> (i) securities; and (b) deal in a financial product by: <ol style="list-style-type: none"> (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products: <ol style="list-style-type: none"> (A) securities; <p>to retail and wholesale clients.</p>
From:	13/07/2004
Details:	<ol style="list-style-type: none"> 1. This licence authorises the licensee to carry on a financial services business to:



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Australian Securities & Investments Commission

Australian Financial Services Licensee

AUSFIRST COMPLIANCE PARTNERS LIMITED
AFS Licence Number 275444

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 - (A) securities;
- to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.